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ATTORNEY DOCKET NO. 14014.0346U1  
PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of	)	
	)	
EGAN <i>et al.</i>	)	Art Unit: 1646
	)	
Serial No. 09/762,538	)	Examiner: Jiang, Dong
	)	
Filed: July 19, 2001	)	Confirmation No. 5705
	)	
For: DIFFERENTIATION OF NON-INSULIN	)	
PRODUCING CELLS INTO INSULIN	)	
PRODUCING CELLS BY GLP-1 OR	)	
EXENDIN-4 AND USES THEREOF	)	

**PETITION FOR CORRECTION OF INVENTORSHIP IN PATENT APPLICATION**  
**PURSUANT TO 37 C.F.R. § 1.497(d)**

MAIL STOP PETITIONS  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313

NEEDLE & ROSENBERG, P.C.  
Customer No. 36339

November 10, 2004

Sir:

Pursuant to 37 C.F.R. § 1.497(d), applicants hereby petition for correction of inventorship of the above-identified application to add Joel Habener and Doris Stoffers as co-inventors. The inventorship originally set forth in the international application was in error in omitting Dr. Habener and Dr. Stoffers, without deceptive intention. The correct inventors of the present invention are Josephine Egan, Riccardo Perfetti, Antonino Passaniti, Nigel Greig, Harold Holloway, Joel Habener and Doris Stoffers.

11/15/2004 BABRAHA1 00000118 09762538

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This petition is accompanied by:

- 1) a statement from each person being added as a co-inventor that the error in inventorship in the international application occurred without deceptive intention on his/her part, as required pursuant to 37 C.F.R. § 1.497(d)(1);
- 2) a Declaration executed by the actual inventors pursuant to 37 C.F.R. §§ 1.497(f) and 1.63;
- 3) the written consent of the assignee, the Government of the United States of America, as Represented by the Secretary, Department of Health and Human Services, National Institutes of Health, Office of Technology Transfer, as required under 37 C.F.R. § 1.497(d)(3); and
- 4) a Credit Card Payment Form PTO-2038 authorizing payment in the amount of \$200.00 (Processing fee, pursuant to 37 C.F.R. §§ 1.497(d)(2) and 1.17(i)). This amount is believed to be correct; however, the Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-0629.

Respectfully submitted,

NEEDLE & ROSENBERG, P.C.

Tina W. McKeon  
Registration No. 43,791

NEEDLE & ROSENBERG, P.C.  
Customer No. 36339

CERTIFICATE OF EXPRESS MAIL

I hereby certify that this correspondence is being sent via Express Mail Label No. EL 992017199 US to: Mail Stop Petitions, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313 on the date shown below.

  
Tina W. McKeon

November 10, 2004  
Date



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In re Application of	)	
	)	
EGAN <i>et al.</i>	)	
	)	Group Art Unit: 1646
Serial No.: 09/762,538	)	
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Filed: July 19, 2001	)	
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FOR: "DIFFERENTIATION OF NON-INSULIN-	)	
PRODUCING CELLS INTO INSULIN-	)	
PRODUCING CELLS BY GLP-1 OR	)	
EXENDIN-4 AND USES THEREOF"	)	

**STATEMENT OF JOEL HABENER  
ACCOMPANYING PETITION FOR CORRECTION OF INVENTORSHIP  
IN PATENT APPLICATION, PURSUANT TO 37 C.F.R. § 1.497(d)(1)**

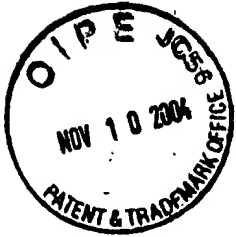
I, Joel Habener, state that I am a co-inventor of the invention, described and claimed in the above-captioned patent application, and that the error in my not being named as a co-inventor in the international application occurred without deceptive intention on my part.

Joel F. Habener October 17, 2003  
Joel Habener

Signed before me by Joel F. Habener this  
17<sup>th</sup> day of October, 2003  
/Joel P. Wain, Notary Public

My commission expires 1/13/2006

150543-2



ATTORNEY DOCKET NO. 14014.0346U1  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of )

EGAN *et al.* )

Serial No.: 09/762,538 )

Filed: July 19, 2001 )

FOR: "DIFFERENTIATION OF NON-INSULIN- )  
PRODUCING CELLS INTO INSULIN- )  
PRODUCING CELLS BY GLP-1 OR )  
EXENDIN-4 AND USES THEREOF" )

Group Art Unit: 1646

Examiner: Jiang, Dong

Confirmation No. 5705

STATEMENT OF DORIS STOFFERS,  
ACCOMPANYING PETITION FOR CORRECTION OF INVENTORSHIP  
IN PATENT APPLICATION, PURSUANT TO 37 C.F.R. § 1.497(d)(1)

I, Doris Stoffers, state that I am a co-inventor of the invention, described and claimed in the above-captioned patent application, and that the error in my not being named as a co-inventor in the international application occurred without deceptive intention on my part.

*Doris A. Stoffers*

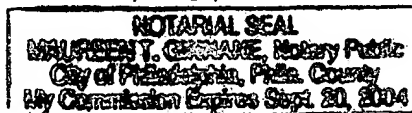
Doris Stoffers

Commonwealth of Pennsylvania  
County of Philadelphia

Sworn to and subscribed before me  
this 1<sup>st</sup> day of October 2003.

*Maureen P. Geniane*  
Notary Public

150542-3





ATTORNEY DOCKET NO. 14014.0346U1  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

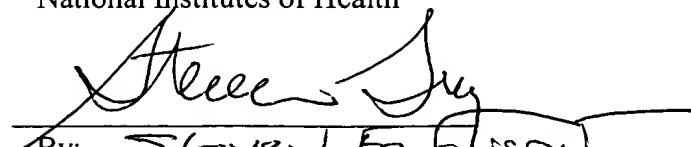
In re Application of	)	
	)	
EGAN <i>et al.</i>	)	
	)	Group Art Unit: 1646
Serial No. 09/762,538	)	
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Filed: July 19, 2001	)	
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FOR: "DIFFERENTIATION OF NON-INSULIN-	)	
PRODUCING CELLS INTO INSULIN-	)	
PRODUCING CELLS BY GLP-1 OR	)	
EXENDIN-4 AND USES THEREOF"	)	

**CONSENT OF ASSIGNEE,  
THE GOVERNMENT OF THE UNITED STATES OF AMERICA, AS REPRESENTED  
BY THE SECRETARY, DEPARTMENT OF HEALTH AND HUMAN SERVICES,  
NATIONAL INSTITUTES OF HEALTH, OFFICE OF TECHNOLOGY TRANSFER,  
ACCOMPANYING PETITION FOR CORRECTION OF INVENTORSHIP IN PATENT  
APPLICATION, PURSUANT TO 37 C.F.R. §1.497(d)(3)**

The Government of the United States of America, as Represented by the Secretary, Department of Health and Human Services, National Institutes of Health, Office of Technology Transfer, is assignee of the above-referenced patent application, as evidenced by the attached copy of an Assignment from JOSEPHINE EGAN, RICCARDO PERFETTI, ANTONIO PASSANITI, NIGEL GREIG, AND HAROLD HOLLOWAY to the Government of the United States of America, as represented by the Secretary, Department of Health and Human Services, Office of Technology Transfer, which Assignment has been recorded with the U.S. Patent and Trademark Office at Reel/Frame 009840/0685 on March 22, 1999.

As a representative authorized to act on behalf of the assignee, I hereby provide consent for correction of inventorship in the above-referenced application to add JOEL HABENER AND DORIS STOFFERS as co-inventors. I further certify that I am authorized to sign this document on behalf of the assignee.

National Institutes of Health

  
By: Steven Ferguson  
Title: Director, Division of Technology Development and Transfer



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of	)	
	)	Group Art Unit: 1646
EGAN <i>et al.</i>	)	
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Application No. 09/762,538	)	Examiner: Jiang, Dong
	)	
Filed: July 19, 2001	)	
	)	Confirmation No.: 5705
For: "DIFFERENTIATION OF	)	
NON-INSULIN PRODUCING CELLS	)	
INTO INSULIN PRODUCING CELLS	)	
BY GLP-1 OR EXENDIN-4 AND USES	)	
THEREOF	)	

COMMUNICATION

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

NEEDLE & ROSENBERG, P.C.  
Customer No. 36339

November 10, 2004

Sir:

This communication is to respectfully request that the enclosed Power of Attorney be entered in the above-identified patent application is under Serial No. 09/762,538.



ATTORNEY DOCKET NO. 14014.0346U1  
SERIAL NO. 09/762,538  
Page 2 of 2

No additional fee is believed due; however, the Commissioner is hereby authorized to charge any additional fees that may be required, or credit any overpayment to Deposit Account No. 14-0629.

Respectfully submitted,

Tina Williams McKeon, Ph.D.  
Registration No. 43,791

NEEDLE & ROSENBERG, P.C.  
Customer No. 23859  
678-420-9300  
678-420-9301 (Facsimile)

**CERTIFICATE OF EXPRESS MAILING**

I hereby certify that this COMMUNICATON is being sent via Express Mail Label No. **EL 992017199** US to: Mail Stop Petitions, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313 on the date shown below.

  
Tina W. McKeon

November 10, 2004  
Date



ATTORNEY DOCKET NO. 14014.0346U1

PATENT

Page 1 of 2

In re Application of )

EGAN, et al. )

Art Unit: 1646

Application No. 09/762,538 )

Examiner: Jiang, Dong

Filing Date: July 19, 2001 )

For: DIFFERENTIATION OF NON-INSULIN )

PRODUCING CELLS INTO INSULIN )

PRODUCING CELLS BY GLP-1 OR )

EXENDIN-4 AND USES THEREOF )

**POWER OF ATTORNEY**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-145020231

Date: June 16, 2003

Sir:

We hereby appoint the attorneys/agents associated with the following customer number to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected therewith:



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PATENT TRADEMARK OFFICE

IM-151903

1



ATTORNEY DOCKET NO. 14014.0346U1

SERIAL NO. 09/762,538

Page 2 of 2

Address all telephone calls to Tina Williams McKeon, Ph.D. at telephone number 404-688-0770.

Address all correspondence to:

Tina Williams McKeon, Ph.D.



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PATENT TRADEMARK OFFICE

October 17, 2003  
Date

Joel Habener  
Joel Habener

Signed before me by Joel Habener this 17<sup>th</sup> day of October 2003  
Walter Watts, Notary Public

My commission expires 1/13/2006

\_\_\_\_\_  
Date

\_\_\_\_\_  
Doris Stoffers

ATTORNEY DOCKET NO. 14014.0346U1

SERIAL NO. 09/762,538

Page 2 of 2

Address all telephone calls to Tina Williams McKeon, Ph.D. at telephone number 404-688-0770.

Address all correspondence to:

Tina Williams McKeon, Ph.D.



36339

PATENT TRADEMARK OFFICE

\_\_\_\_\_  
Date

\_\_\_\_\_  
Joel Habener

October 17, 2003  
Date

Doris A. Stoffers  
Doris Stoffers



## DECLARATION FOR PATENT APPLICATION

☐ Original    ☐ Supplemental    ☒ Substitute    ☐ PCT

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled "**DIFFERENTIATION ON NON-INSULIN PRODUCING CELLS INTO INSULIN PRODUCING CELLS BY GLP-1 OR EXENDIN-4 AND USES THEREOF**," which is described and claimed in the specification

(check one) ☐ which is attached hereto, or  
☐ which was filed on and with amendments through (if applicable), or  
☒ in International Application No. PCT/US99/18099, filed August 10, 1999.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information known by me to be material to the patentability of the claims of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code §119 (a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) or §365(b) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed:

PRIOR FOREIGN APPLICATIONS: (ENTER BELOW IF APPLICABLE)			PRIORITY CLAIMED (MARK APPROPRIATE BOX BELOW)	
APP. NUMBER	COUNTRY	DAY/MONTH/YEAR FILED	YES	NO

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below.

APPLICATION NUMBER	FILING DATE
60/095,917	10 August 1998

Address all telephone calls to Tina Williams McKeon at telephone no. (678) 420-9300.

\*36339\*

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PATENT TRADEMARK OFFICE

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor: JOSEPHINE EGAN

Inventor's signature: \_\_\_\_\_

*Joe Egan*

Date: \_\_\_\_\_

*9/14/04*

Residence:

2421 Everton Road, Baltimore, MD 21209

Post Office Address:

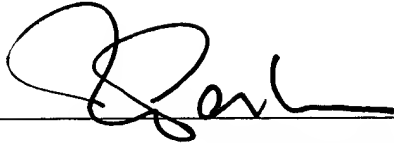
Same as above

Citizenship:

United States

Full name of second inventor: RICCARDO PERFETTI

Inventor's signature: \_\_\_\_\_



Date: \_\_\_\_\_

19/4/04

Residence:

1729 Corcoran Street, N.W., Washington, D.C. 20009

Post Office Address:

Same as above

Citizenship:

Italy

Full name of third inventor: ANTONINO PASSANITI

Inventor's signature: Antonino Passaniti Date: 10/7/04

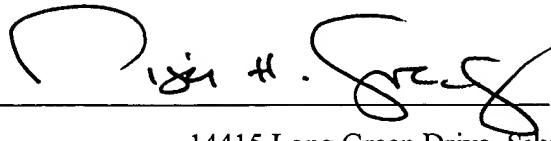
Residence: 18315 Peters Avenue, White Hall, MD 21161

Post Office Address: Same as above

Citizenship: United States

Full name of fourth inventor: NIGEL GREIG

Inventor's signature: \_\_\_\_\_



Date: \_\_\_\_\_

9/29/2004

Residence:

14415 Long Green Drive, Silver Spring, MD 20906

Post Office Address:

Same as above

Citizenship:

Great Britian

Full name of fifth inventor: HAROLD HOLLOWAY

Inventor's signature: Harold Holloway Date: 9/29/04

Residence: 121 Mariners Point Drive, Middle River, MD 21220

Post Office Address: Same as above

Citizenship: United States



Full name of sixth inventor: HABENER, JOEL

Inventor's signature: Joel F Habener Date: August 6, 2004  
Residence: 156 Grant Avenue, Newton Centre, MA 02459  
Post Office Address: Same as above  
Citizenship: United States

Full name of seventh inventor: STOFFERS, DORIS

Inventor's signature: Doris A. Stoffers Date: August 9, 2004  
Residence: 3 Rosewood Lane, Moorestown, NJ 08057  
Post Office Address: P.O. Box 548, Moorestown, NJ 08057  
Citizenship: U.S.A.